



Atty. Docket No.: 18433/2042

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Rance, et al.
Serial No.: 09/963,803
Filed: September 26, 2001
Entitled: CHIMERIC EXPRESSION
PROMOTERS ORIGINATING FROM
COMMELINA YELLOW MOTTLE
VIRUS AND CASSAVA VEIN
MOSAIC VIRUS

Examiner: D.M. Sullivan

Group Art Unit: 1636

Conf. No.: 9170

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Commissioner for Patents
Washington, D.C. 20231

PETITION TO ADD NAME OF OMITTED INVENTOR
TO NON-PROVISIONAL APPLICATION (37 C.F.R. section 1.48(a))

Dear Sir:

Please add my name, omitted by error, as inventor to this application.

Manfred Theisen
1, rue des Roseraies
F-63400 Chamalieres
FRANCE

The omission of my name as inventor of the above referenced patent application occurred by error, and without any deceptive intent.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful and false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

Date:

3/24/03

Name: Manfred Theisen

1, rue des Roseraies
F-63400 Chamalieres
FRANCE

05/01/2003 SDENBOB1 00000007 09963803

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8a

I hereby certify that this correspondence (and any paper or fee referred to as being enclosed) is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231.

Elizabeth N. Spar

Name of Person Mailing Paper

Elizabeth N. Spar

Signature of Person Mailing Paper

Commissioner for Patents
Washington, D.C. 20231

REQUEST TO CORRECT INVENTORSHIP

Dear Sir:

Please amend the above referenced patent application to include the following inventor, omitted in error:

Manfred Theisen
1, rue des Roseraies
F-63400 Chamalieres
FRANCE

The omission of the inventor's name occurred in error and without any deceptive intent.

The requisite fee set forth in 1.17(i), of \$130.00 is enclosed herewith.

Respectfully submitted,

Date: April 25, 2003

Elizabeth N. Spar Reg #45,123 for

Name: Kathleen M. Williams

Registration No. 34,380

Customer No.: 29933

Palmer & Dodge LLP

111 Huntington Avenue

Boston, Massachusetts 02199-7613

Tel: (617) 239-0100



UNITED STATES
PATENT AND
TRADEMARK OFFICE

COPY

OCTOBER 30, 2002

PTAS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

PALMER & DODGE LLP
KATHLEEN M. WILLIAMS
111 HUNTINGTON AVE.
BOSTON, MA 02199



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY., SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/21/2002

REEL/FRAME: 013206/0384
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
RANCE, IANN

DOC DATE: 01/03/2002

ASSIGNOR:
GRUBER, VERONIQUE

DOC DATE: 01/03/2002

ASSIGNEE:
MERISTEM THERAPEUTICS
8 RUE DES FRERES LUMIERE
CLERMONT FERRAND, FRANCE 63100

SERIAL NUMBER: 09963803
PATENT NUMBER:

FILING DATE: 09/26/2001
ISSUE DATE:

TONYA LEE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



102199095

ER SHEET

U.S. Department of Commerce

COPY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name and address of conveying party(ies):

Name: Iann RanceStreet Address: 7 rue Des CondaminesCity: BersignatState: F-63260 Aubiat ZIP: FRANCEAdditional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: January 3, 2002

2. Name and address of receiving party(ies):

Name: Meristem Therapeutics

Internal Address: _____

Internal Address: _____

Street Address: 8 rue Des Freres LumiereCity: Clermont FerrandState: FRANCE ZIP: 63100Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers:

*If being submitted with New Application, execution date of application is:*A. Patent Application No.(s) 09/963,803

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kathleen M. WilliamsInternal Address: Palmer & Dodge LLPStreet Address: 111 Huntington AvenueCity: Boston State: MA ZIP: 021996. Total number of application and patents involved: 17. Total fee (37 CFR 3.41)..... \$ 40.00☒ Enclosed☐ Authorized to charge deficiencies to deposit account8. Deposit account number: 16-0085 Ref. 18433/2042

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathleen M. Williams

Name of Person Signing

Signature

Date

08/22/2002 DBYRNE 00000097 09963803

01 FC:581

40.00 OP

Total number of pages comprising cover sheet attachment and document: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignment
Washington, D.C. 20231

Attorney Docket No.: 18433/2042
Serial No.: 09/963,803

Page 2 of FORM PTO-1595

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

U.S. Department of Commerce

Name and address of additional conveying party(ies): IF ANY

Name: Veronique Gruber

Street Address: Residence du Parc, 6 Ave Jean Moulin

City: 63540 Romagnat

State: FRANCE ZIP:



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INVENTOR TO NON-PROVISIONAL APPLICATION (37 C.F.R. section 1.48(a))**

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Please add the following name, omitted by error, as inventor to this application.

Manfred Theisen
1, rue des Roseraies
F-63400 Chamalieres
FRANCE

The omission of the name as inventor of the above referenced patent application occurred by error, and without any deceptive intent.

I hereby declare that I am authorized to act on behalf of the assignee, Meristem Therapeutics.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful and false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

Date:

March 24, 2003

Name (print): MERO T BERTRAND
Meristem Therapeutics
8 Rue des Freres Lumiere
63100 Clermont Ferrand
FRANCE